

NFAC #6321/80

18 September 1980

MEMORANDUM FOR: See Distribution

FROM : Associate Coordinator for Academic
Relations, NFAC

SUBJECT : Request for IC/FAR Research Project
Information for 4th Quarter FY 1980

1. Once again it is necessary to submit a quarterly report to the Department of State in accordance with requirements for interagency coordination on external Foreign Affairs Research.

2. Please send to me by 3 October completed research project summary forms for any external contracts initiated by your office or directorate in the 4th Quarter Fiscal Year 1980. Negative reports may be made by calling me on extension 7848.

3. I am again attaching the present "Working Definition" of Foreign Affairs External Research which describes and illustrates what research should and what research should not be included in your report. As before, unclassified research project information should be submitted on the Smithsonian Science Information Exchange form while classified research project information must be submitted on the Foreign Affairs Research Summary form (both forms attached and may be reproduced if necessary).

4. If you have any questions, please contact me on the extension given above.



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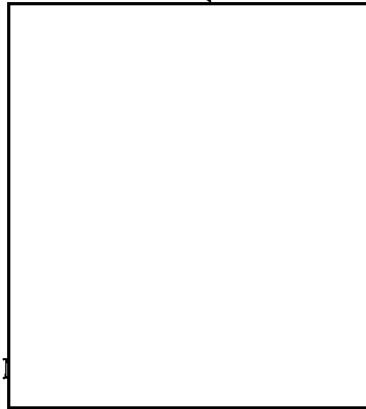
Attachments:
As stated

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FOREIGN AFFAIRS EXTERNAL RESEARCH

Working Definition

The IC/FAR is interested in USG foreign affairs external research activities. What follows defines key terms and suggests what is to be included and what excluded by participating agencies.

External research activities to be reported* in accordance with section VI. B. of the Policies and Procedures for Department of State Review and Coordination of Government-Sponsored Research on Foreign Affairs is defined below in terms of 1) Subject matter, 2) research approaches and methods, 3) type of activity, and 4) cost.

1. Subject Matter

From a U.S. perspective, foreign affairs includes a wide range of political, social, cultural, psychological, military, economic, science, technology, and other subject areas. Furthermore, U.S. domestic affairs are increasingly linked with matters foreign or international. A foreign affairs research activity, therefore, is one that focuses, in part or entirely, on recent, contemporary, or future:

- Attributes, ideas, or behavior of non-U.S. individuals, groups, societies, or nations, to include studies of discrete areas or peoples, multinational and cross-national studies, and also historical studies with application to the contemporary scene; or on
- Relations between and among nations, including the US; or relations between and among individuals or groups located in different nations, including the US; or on
- Ways in which U.S. individuals, groups, ideas, attributes, or affairs are influenced by, or influence: affairs in other nations, relations between or among nations, the position or behavior of the US in international affairs.

EXCLUDE: a. All natural (e.g. astronomical, biological, etc.) or technological (e.g. nuclear fusion) studies in which a cultural, economic, political, management or other social dimension, cause, or setting is not also under investigation.

- b. All studies of U.S. affairs in which a foreign or international dimension, cause, setting, or ramification is not also under investigation.

Research Approaches and Methods

Foreign affairs research uses the approaches and methods of one or more of the basic or applied social, behavioral, or policy sciences, and/or the humanities.

*In cases of collaborative programs and projects involving two or more agencies, the agency which actually signs contract or grant agreements should assume the reporting responsibility.

e.g. INCLUDE

e.g. EXCLUDE

- a. All types of research (i.e. pure, exploratory, basic, applied, developmental, evaluative, policy, etc.) when foreign affairs is the subject matter.
- b. Methodological studies which use foreign affairs data, concepts or theory to test or develop methods or techniques.
- c. Studies of the U.S. policy-making process when the policy area includes a foreign or international dimension.

- a. Evaluation studies of an agency program that are intended only for use by the sponsoring agency.

3. Type of Activity

External research encompasses all research programs, projects, or other activities sponsored by the U.S. Government but performed by non-Government employees (in the US or overseas).

e.g. INCLUDE

e.g. EXCLUDE

- a. Direct contracts and grants.
- b. Interagency transfers and inter-governmental loans used to make contract or grant awards to non-governmental research performers.
- c. Arrangements for the external preparation of data, analyses, studies, reports, conferences, symposia, or data and documentation collections, services, and systems that support researchers.

- a. In-house research performed solely by U.S. Government employees (including temporary or intermittent -- but not contract -- experts or consultants) whether in the sponsoring or recipient agency.
- b. Programs in which the research is incidental to accomplishment of an educational, training, or cultural exchange or similar objective, such as grants awarded under the Fulbright-Hays program and the National Defense Education Act.
- c. Activities of agency personnel involved in managing or administering external research activities.
- d. Research supported solely by private institutions; state, local or foreign Governments; or units of the USG's legislative and judicial branches.

4. Cost

The total amount obligated for a project by a sponsoring agency (or agencies if jointly funded).

e.g. INCLUDE

e.g. EXCLUDE

- a. All foreign affairs research activities costing \$1,000 or more.

- a. Activities costing less than \$1,000 unless the reporting agency believes it important to share information with other agencies.

EXAMPLES

INCLUDE

1. Oil Production in Saudi Arabia
(an economic activity)
2. Mine Safety Programs in Yugoslavia
(a social activity)
3. Social Rehabilitation Programs for
Drug Addicts in India (a social
activity)
4. Cattle Grazing Techniques in Tribal
Africa (an economic activity)
5. The Organization of High Energy
Physics Research in Israel
(a science affairs activity)
6. Causes of Auto Accidents in Warsaw
(a behavioral-technological affair)
7. Soviet Programs for Exploring the
Oceans (a technological-social
activity)
8. Sources of Pollutants in the Great
Lakes (an economic-physical-technological
relationship)
9. Attitudinal Impact of VOA Programming
on East European Listeners (a psycho-
social relationship through technical
media)
10. International Fishing Regimes in the
North Pacific (a legal-natural relation-
ship)
11. Health Delivery Systems in Latin America
(a social service activity)

EXCLUDE

1. Oil Geology of Saudi Arabia
(a strictly natural phenomenon)
2. Physical Properties of Yugoslav Coal
Veins (a strictly natural phenomenon)
3. Blood Studies of Heroin Addicts
in India (a human physiological
but non-behavioral phenomenon)
4. Development of Improved Strains
of Range Grass for Semi-Arid Regions
(a strictly biological phenomenon)
5. Nuclear Particle Experiments at the
Weizmann Institute (a strictly phy-
sical science phenomenon)
6. The Nature of Vehicle Failures in
Fatal Auto Accidents in Poland (a
strictly technological phenomenon)
7. Soviet Techniques for Deep Water
Drilling (a strictly technological
activity)
8. Currents in the Gréat Lakes (a
strictly natural though transna-
tional relationship)
9. Electronic Counter-Jamming Techniques
Applicable to Short-Wave Broadcasting
(a strictly technological phenomenon)
10. Fish Ecology of the Bering Strait
(a strictly natural phenomenon)
11. Medical, therapeutic, clinical studies
in which a social, cultural economic,
or political dimension or setting is
not also under investigation (medically
oriented activities)

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